



~~Sector #~~

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2 Application Serial No..... 09/559,901
3 Filing Date..... 4/26/00
4 Inventorship..... Puryear
Applicant Microsoft Corporation
Attorney's Docket No. MS1-540US
Title: Extensible Kernel-Mode Audio Processing Architecture

TRANSMITTAL LETTER AND CERTIFICATE OF MAILING

To: Commissioner of Patents and Trademarks,
Washington, D.C. 20231

From: Allan T. Sponseller (Tel. 509-324-9256; Fax 509-323-8979)
Lee & Hayes, PLLC
421 W. Riverside Avenue, Suite 500
Spokane, WA 99201

The following enumerated items accompany this transmittal letter and are being submitted for the matter identified in the above caption.

1. Transmittal letter including Certificate of Mailing
2. Check in the Amount of \$2170.00
3. Notice to File Missing Parts of Application
4. Executed Declaration
5. Fee Transmittal
6. Return Post Card

Large Entity Status [x] Small Entity Status []

Applicant hereby requests an extension of time in any case such an extension is necessary. The fee should be charged to the Deposit Account indicated below.

The Commissioner is hereby authorized to charge payment of fees or credit overpayments to Deposit Account No. 12-0769 in connection with any patent application filing fees under 37 CFR 1.16, and any processing fees, including any necessary extension fees under 37 CFR 1.17.

Date: 8/2/00

By: 
Allan T. Sponseller
Reg. No. 38,318

CERTIFICATE OF MAILING

I hereby certify that the items listed above as enclosed are being deposited with the U.S. Postal Service as either first class mail, or Express Mail if the blank for Express Mail No. is completed below, in an envelope addressed to The Commissioner of Patents and Trademarks, Washington, D.C. 20231, on the below-indicated date. Any Express Mail No. has also been marked on the listed items.

Express Mail No. (if applicable)

Date: 8/2/2000

By: Dana L. Calhoun
Dana L. Calhoun



FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

Small Entity payments **must** be supported by a small entity statement, otherwise large entity fees **must** be paid. See Forms PTO/SB/09-12. See 37 C.F.R. §§ 1.27 and 1.28.

TOTAL AMOUNT OF PAYMENT (\$ 2170 ⁰⁰)

Complete If Known	
Application Number	09/559,901
Filing Date	4/26/2000
First Named Inventor	Puryear
Examiner Name	
Group / Art Unit	
Attorney Docket No.	MSI-54005

METHOD OF PAYMENT (check one)

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **12-0769**

Deposit Account Name **Lee & Hayes PLLC**

Charge Any Additional Fee Required
Under 37 CFR §§ 1.16 and 1.17

2. Payment Enclosed:
 Check Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	690	201 345 Utility filing fee	690
106	310	206 155 Design filing fee	
107	480	207 240 Plant filing fee	
108	690	208 345 Reissue filing fee	
114	150	214 75 Provisional filing fee	

SUBTOTAL (1) (\$ 690 ⁰⁰)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
169	-20** = 49	x 18 = 882	
Independent Claims	9 -3** = 6	x 78 = 468	
Multiple Dependent			

**or number previously paid, if greater; For Reissues, see below

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203 9 Claims in excess of 20
102	78	202 39 Independent claims in excess of 3
104	260	204 130 Multiple dependent claim, if not paid
109	78	209 39 ** Reissue independent claims over original patent
110	18	210 9 ** Reissue claims in excess of 20 and over original patent

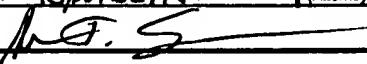
SUBTOTAL (2) (\$ 1350 ⁰⁰)

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130 ⁰⁰)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 130 ⁰⁰)

SUBMITTED BY		Complete (if applicable)	
Name (Print/Type)	Allen T. Spanseller	Registration No. (Attorney/Agent)	38,318
Signature		Telephone	(509) 324-9256

WARNING:

Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

FORMALITIES LETTER



OC00000005253873

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
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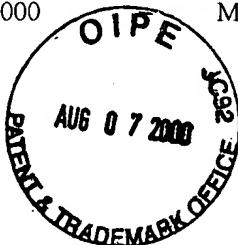
09/559,901

04/26/2000

Martin G. Puryear

MS1-540US

Allan T Sponseller
Lee & Hayes PLLC
421 W Riverside Avenue
Suite 500
Spokane, WA 99201



Date Mailed: 07/19/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

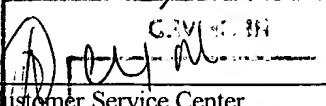
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1350.
 - \$882 for 49 total claims over 20.
 - \$468 for 6 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2170.

08/09/2000 BHABTEW 00000095 09559901

01 FC:101	690.00 DP
02 FC:103	882.00 DP
03 FC:102	468.00 DP
04 FC:105	130.00 DP

A copy of this notice MUST be returned with the reply.

 Lee & Hayes, PLLC Customer Service Center Initial Patent Examination Division (703) 308-1202	
PART 2 - COPY TO BE RETURNED WITH RESPONSE	